

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In re application of:

Graham Ronald EASTHAM *et al.*

Appln. No. 10/536,801

Confirmation No. 4528

Filed: May 27, 2005

For: CARBONYLATION OF VINYL ESTER
(As Amended)

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket No. 31229-218465

Customer No.

26694

PATENT TRADEMARK OFFICE

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTSCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**Attention: MAIL STOP - MISSING REQUIREMENTS**

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), dated November 17, 2005, submitted herewith are the following:

1. Transmittal;
2. Fee Transmittal;
3. English-Language Declaration (3 pages);
4. Power of Attorney and Correspondence Address Indication Form (3 pages);
5. Assignment and Recordation Form (6 pages total);
6. A Second Preliminary Amendment;

Rec'd PATTS 09 DEC 2005

Application No. 10/536,801

Applicants: Graham R. EASTHAM et al.

7. A Copy of the Notification of Missing Requirements dated November 17, 2005;
8. The \$130 surcharge for the late filing of the Declaration; and
9. The \$40.00 recordation fee for the Assignment.

Please charge the fees, totaling \$170.00, to our Deposit Account No. 22-0261.

Respectfully submitted,

Date: December 9, 2005

Kavita B. Lepping

Kavita B. Lepping

Registration No. 54,262

VENABLE LLP

P.O. Box 34385

Washington, D.C. 20043-9998

Telephone: (202) 344-4000

Telefax : (202) 344-8300

MAS/SJB

::ODMA\PCDOCS\DC2DOCS\1701868\1701868v1

12/13/2005 GFREY1 00000160 220261 10536801

01 FC:1617 130.00 DA

Adjustment date: 03/06/2006 VWALLACE

12/13/2005 GFREY1 00000160 220261 10536801

01 FC:1617 130.00 CR

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/536,801
	Filing Date	May 27, 2005
	First Named Inventor	Graham Ronald EASTHAM et al.
	Group Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
Total Number of Pages in This Submission	Attorney Docket Number	31229-218465

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input checked="" type="checkbox"/> English-Language Declaration (3 pp.) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO/SB/08A <input type="checkbox"/> Claim for Priority; Certified Copy of Priority Document <input checked="" type="checkbox"/> Preliminary Amendment <input checked="" type="checkbox"/> Copy of Response to Notification of Missing Requirements	<input checked="" type="checkbox"/> Assignment & Recordation Sheet (6 pages) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney and Correspondence Address Indication Form (3 pp.) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="padding-left: 40px;">Response to Notification of Missing Parts</div>
<div style="border: 1px solid black; padding: 5px; min-height: 40px;"> Remarks </div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Kavita B. Lepping Reg. No. 54,262	26694 U.S. PATENT TRADEMARK OFFICE
Signature	<i>Kavita B. Lepping</i>	
Date	December 9, 2005	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on this date: _____			
Typed or printed name			
Signature		Date	

VENABLE
ATTORNEYS AT LAW

SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, 22313-1450.
#701881

<p><i>Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</i></p> <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">For FY 2005</h3>		<p>Complete if Known</p>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/536,801
		Filing Date	May 27, 2005
		First Named Inventor	Graham Ronald EASTHAM <i>et al.</i>
		Examiner Name	To Be Assigned
		Art Unit	To Be Assigned
TOTAL AMOUNT OF PAYMENT		(\$) \$ 170.00	
		Attorney Docket No.	31229-218465

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify) _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>22-0261</u> Deposit Account Name: <u>Venable LLP</u>	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fees Paid (\$)
		Fee (\$)		Fee (\$)		Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FEES							Small Entity
Fee Description							Fee (\$)
Each claim over 20 (including Reissues)							
Each independent claim over 3 (including Reissues)							
Multiple dependent claims							
<u>Total Claims</u>		<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>		
- 20 = <u>0</u>		x <u>0</u>	= <u>0</u>		<u>Fee (\$)</u>		<u>Fee Paid (\$)</u>
							<u>0</u>
<u>Indep. Claims</u>		<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
- 3 = <u>0</u>		x <u>0</u>	= <u>0</u>				
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
- 100 = _____	/50	(round up to a whole number) x	<u>0</u>	= <u>0</u>			
4. OTHER FEE(S)							Fees Paid (\$)
							<u>0</u>
Other (e.g., late filing surcharge):							
. Surcharge for late filing of the Declaration							\$ 130.00
. Assignment Recordation Fee							\$ 40.00

SUBMITTED BY			
Signature	<i>Kavita B. Lepping</i>	Registration No. (Attorney/Agent)	54,262
Name (Print/Type)	Kavita B. Lepping	Telephone	(202) 344-4000
		Date	December 9, 2005

MAS



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/536,801	Graham Ronald Eastham	31229-218465

INTERNATIONAL APPLICATION NO.

PCT/GB03/04679

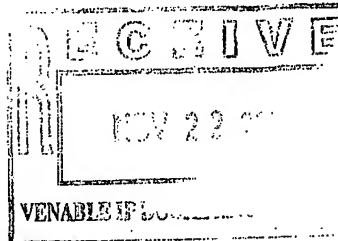
I.A. FILING DATE

10/31/2003

PRIORITY DATE

11/30/2002

26694
VENABLE LLP
P.O. BOX 34385
WASHINGTON, DC 20045-9998



CONFIRMATION NO. 4528

371 FORMALITIES LETTER



OC000000017436593

Date Mailed: 11/17/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/27/2005
- Copy of the International Search Report filed on 05/27/2005
- Copy of IPE Report filed on 05/27/2005
- Copy of Annexes to the IPER filed on 05/27/2005
- Preliminary Amendments filed on 05/27/2005
- Information Disclosure Statements filed on 05/27/2005
- U.S. Basic National Fees filed on 05/27/2005
- Priority Documents filed on 05/27/2005

31229- DOCKETED

CLIENT/MATTER # 218465 ATTY MAS
DUE DATE Jan. 17, 2006
FINAL DEADLINE June 17, 2006
DKTED BY [signature] NPL

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/536,801	PCT/GB03/04679	31229-218465

FORM PCT/DO/EO/905 (371 Formalities Notice)